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J1130 U.S. PTO

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 1931-7-3

10/011867
12/05/01
J1130 U.S. PTO

CERTIFICATE OF MAILING OR TRANSMISSION

"Express Mail" mailing label number: ET564725068US

Date of Deposit: December 5, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR, Section 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 by


Signature

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

Sir:

Enclosed for filing is the patent application of:

Inventors: Clifton A. Alferness, John M. Adams, Mark L. Mathis and David G. Reuter

Title: ANCHOR AND PULL MITRAL VALVE DEVICE AND METHOD

Including:

- X 1. A specification including claims consisting of 21 pages.
- X 2. Drawings consisting of 3 sheets.
- X 3. Declaration and Power of Attorney.

Additionally:

- X 4. An assignment of the invention to Cardiac Dimensions, Inc. is attached.
- X 5. Applicant claims small entity status.
- X 6. A filing date in accordance with 37 CFR 1.10 is requested (Express mail certificate is part of this transmittal letter).

X 7.

COMPUTATION OF FEE

| Claims | Number Filed | Number Extra | Basic Fee \$740/\$370 |
|------------|--------------|--------------|--------------------------|
| Total | 43 -20= | 23 | x\$18/\$9= \$207.00 |
| Indep. | 5 - 3= | 2 | x\$84/\$42= \$84.00 |
| Multi Dep. | xxx | xxx | x\$260/\$130= |

TOTAL Filing Fee: \$661.00

Assignment Recording Fee (\$40.00): \$40.00

- X 8. Check No. 17980 for \$661.00 for filing fee is enclosed.
X 9. Check No. 17981 for \$40.00 for assignment fee is enclosed.
___ 10. No fee is enclosed at this time.
X 11. Charge any additional fees to Deposit Account No. 07-1897.
X 12. A copy of this letter is enclosed.
X 13. A postcard is enclosed as acknowledgment of receipt of this application.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

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